



Risk-Informed Performance-Based Approach to Manage Plant Operations: From Data to Decisions

(Data Analytics + Smart Models = Robust Decisions)

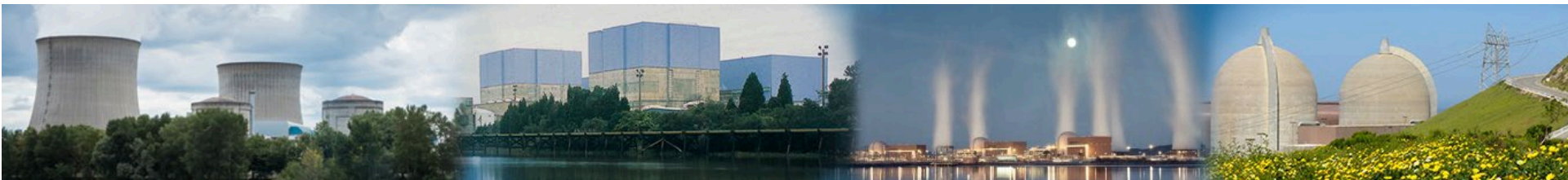
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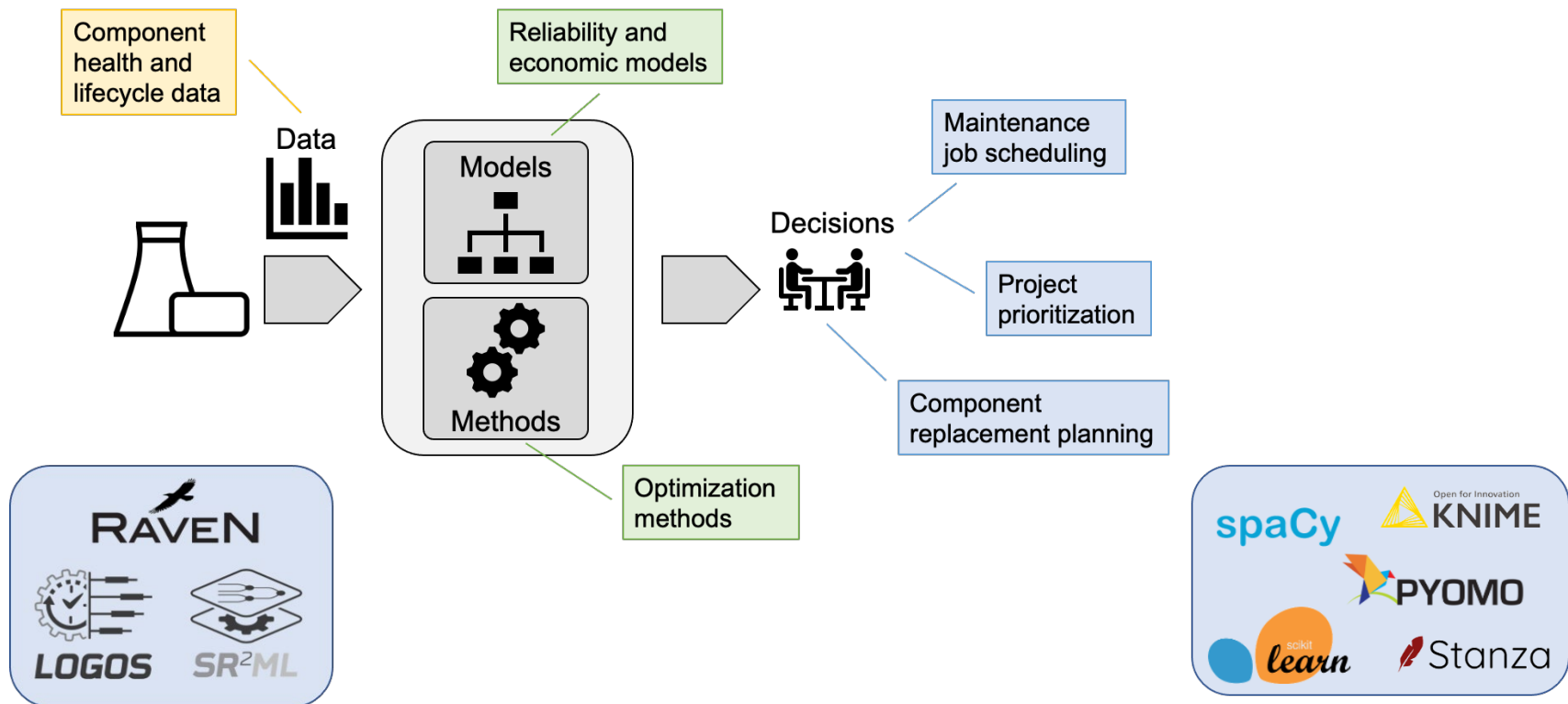


JENSEN HUGHES



Risk Informed Asset Management Project

- **Context:** Advanced modeling and monitoring technologies have the potential to improve plant performances and reduce operating and maintenance (O&M) costs
 - Rich equipment reliability (ER) data
- **Our Work:** Risk analytics platform
 - Data analytics tools coupled with risk-informed methods to manage plant assets and performances



Outline

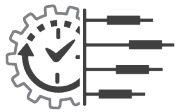
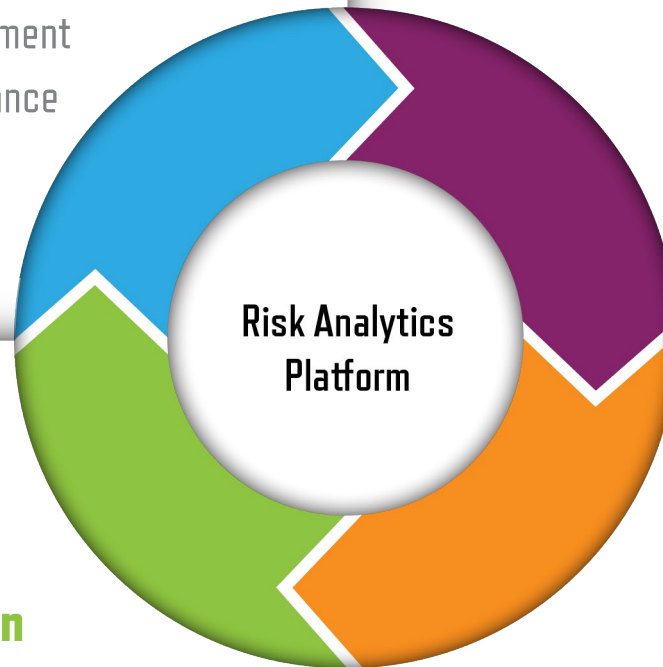


Goals: O&M reduction costs

- Reliability/ageing management
- Enhance system performance
- Optimize plant resources

ER Data Analytics

- Anomaly detection
- Diagnostics
- Prognostics



Resource Optimization

- Project prioritization
- Project actuation planning
- Job scheduling

Digital Modeling

- Integration of reliability and economic models
- Margin reliability solver

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ER Data Analytics

“Machine learning bounded solely by data is doomed to fail” (J. Pearl)

- **State of practice:** Focusing on finding patterns from data
 - Data can be misleading!
- **Data elements:** Heterogenous ER data format
 - Textual (events, logs): Defined over time point or time interval
 - Numeric (e.g., pump oil temperature)
- **Our work:** Causal reasoning behind patterns
 - Integrate numeric and textual data
 - Infer causal relationship among data elements
 - We need to use data along with models
- **Approach:** Merge two perspectives
 - System engineer
 - Data scientist
- **Applications**
 - Anomaly detection: Find abnormal behavior from normal conditions
 - Diagnostic: What caused the abnormal behavior?
 - Prognostic: What are the consequences?
 - Integration of ER data into plant digital models

Are both these elements adequately analyzed simultaneously?

Not all patterns tell us something about the system

System Engineer Perspective

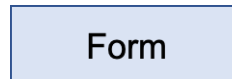
- **Employ Model Based System Engineering (MBSE) representation of systems and components**

- Large use of diagrams

- **MBSE languages:** started with OPM (soon extended to SysML)

- Diagram elements

- Form
- Function
- Dependencies



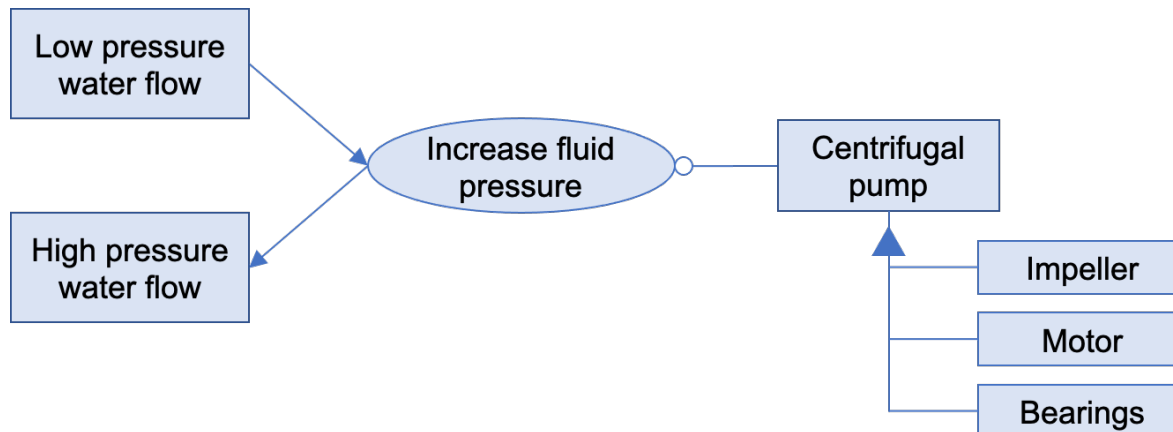
reasoning



- **Uses**

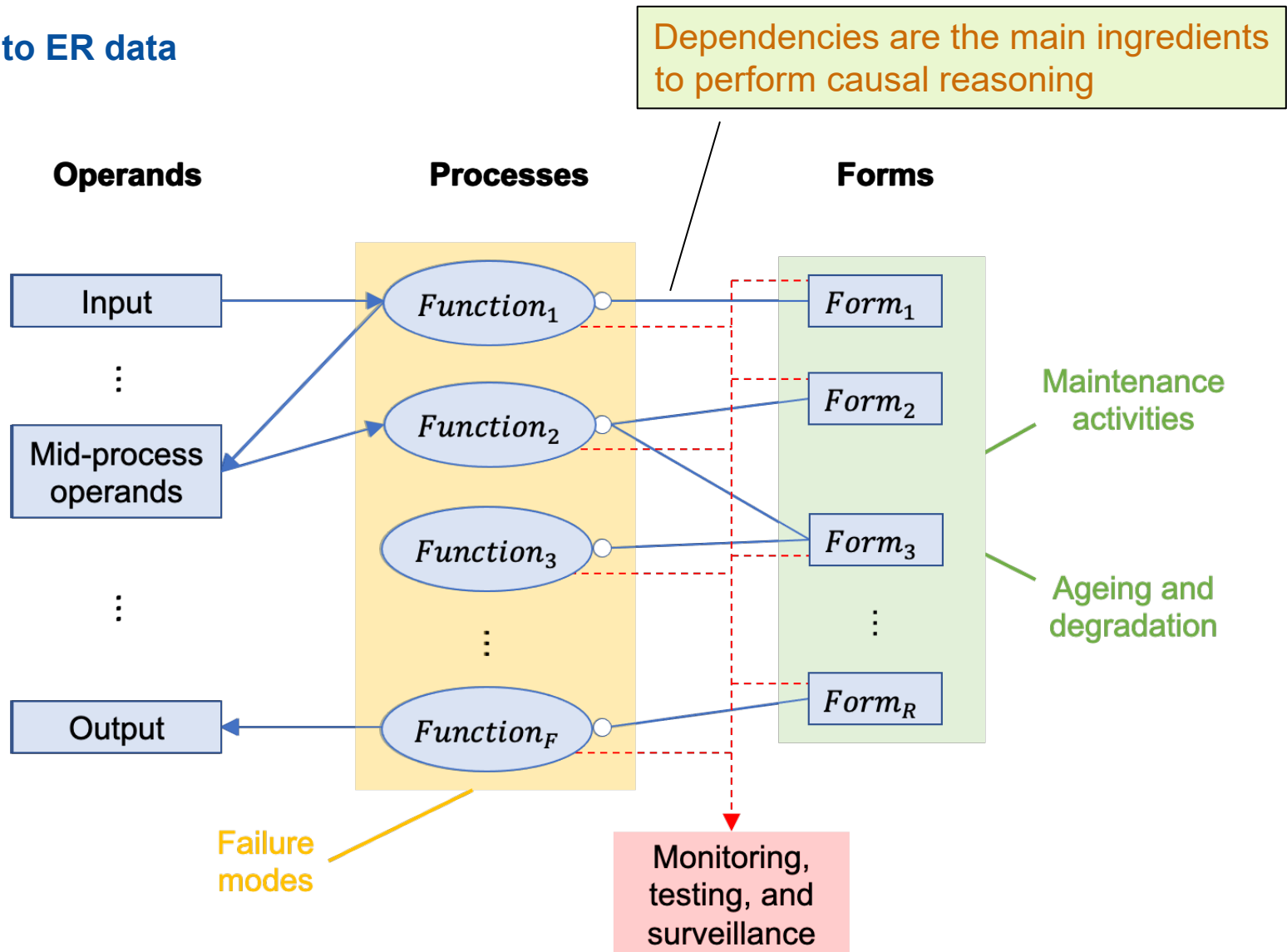
- Understand “what a text is talking about”
- Foundational models to identify causal links between data elements

- **Emulate system engineer knowledge** about component/system architecture



System Engineer Perspective

- Link to ER data

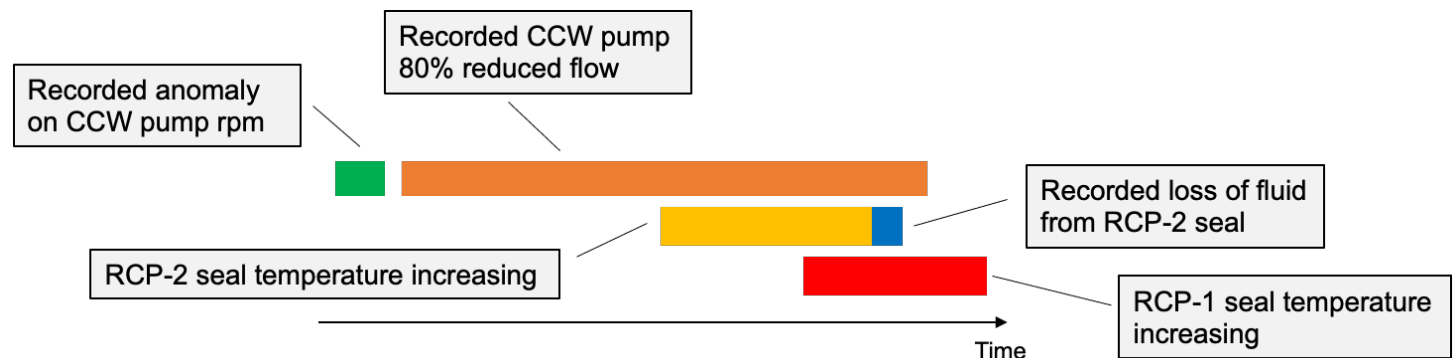


Data Scientist Perspective

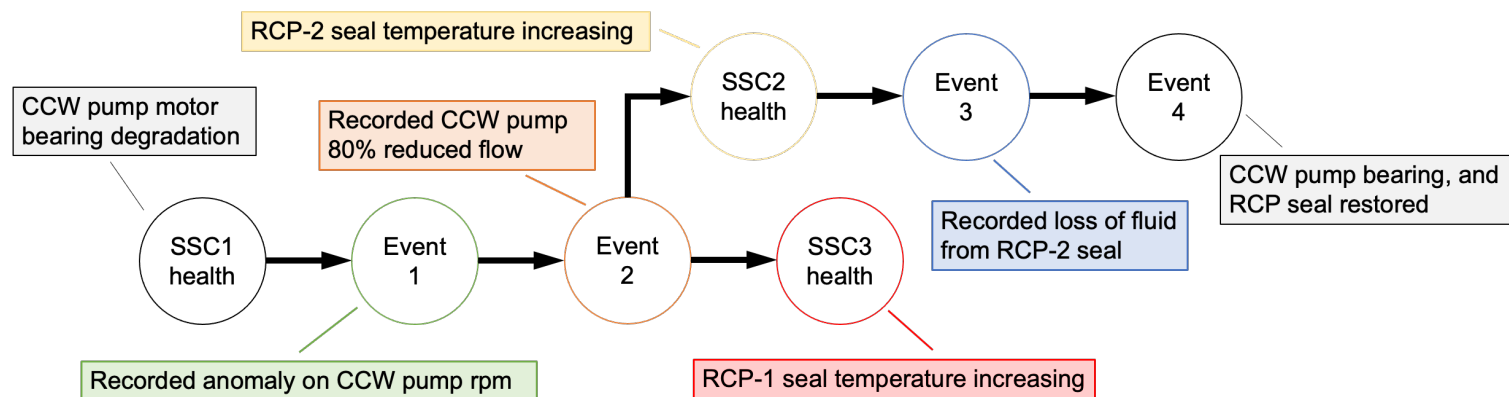
Causal reasoning (not really inference)

- **Data scientist view:** Discover causal relationship between data elements
 - Integrate ER data with system/component MBSE models
 - Directed Acyclic Graph (DAG) based data structure
- **Challenge:** Integration of textual and numeric data
 - **Approach:** Symbolic conversion of all data elements

Data space



Knowledge space

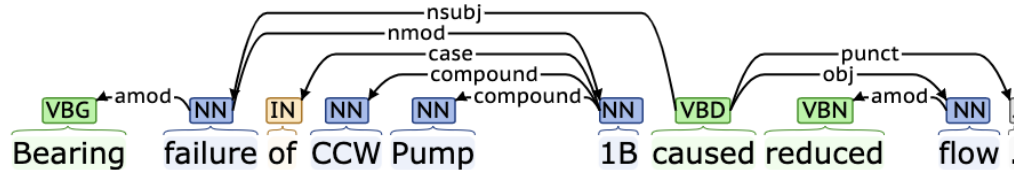


Analysis of Textual Data

- Example

- “Bearing failure of CCW Pump 1B caused reduced flow.”

- **NLP syntactic analysis**

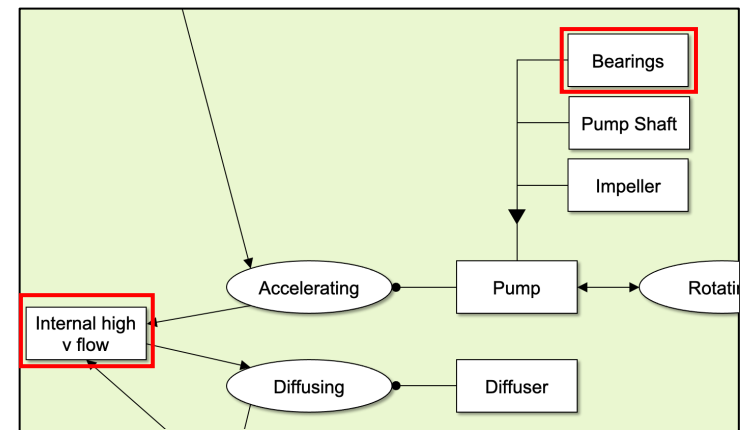
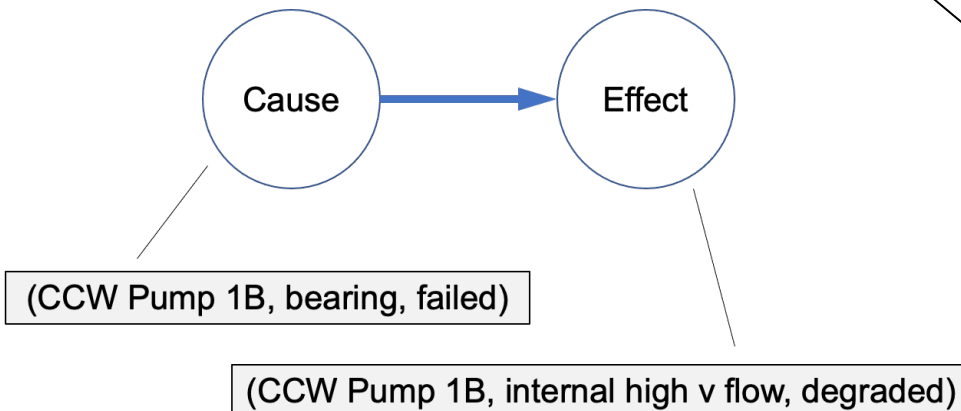


- Sentence segmentation and word tokenization
- Part of speech (POS) tagging
- Named entity recognition (NER)

- **NLP semantic analysis**

- Rely on component/system OPM models
 - Generated causal model

- Identify the sentence logic structure
- Identification of specific nouns, verbs and adjectives
- Identify OPM elements (form or function)



Centrifugal pump OPM model

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Resource Optimization

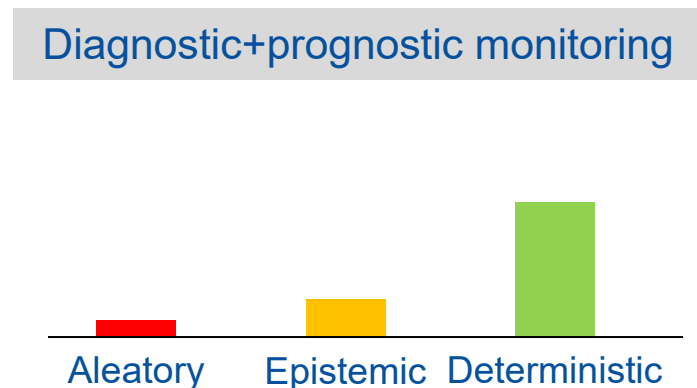
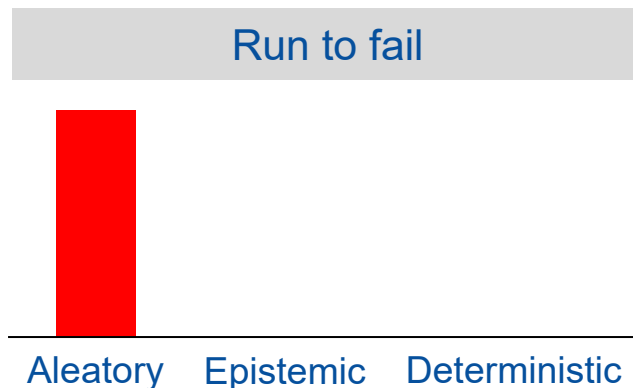
- Project prioritization
- Project actuation planning
- Job scheduling

Digital Modeling

- Integration of reliability and economic models
- Margin reliability solver

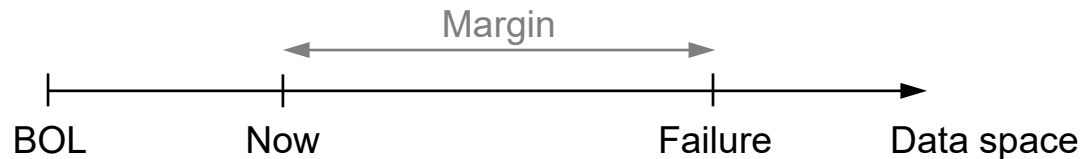
Issues with Current Reliability Approaches

- **Is ER data effectively integrated into plant reliability models?**
 - Easy for components designed to run to fail (i.e., MTTF)
 - What about condition, diagnostic, and prognostic data?
- **State-of-the-art reliability modeling**
 - Bounded by language based on failure rate or failure probability concepts
 - E.g., linear ageing model
 - Does use of “system failure probability” support ongoing decision-making?
- **Thoughts on the concept of failure rate**
 - Rate of occurrence of an aleatory variable
 - Assume testing, surveillance, diagnostic/prognostic monitoring are performed
 - Am I still dealing with an aleatory variable?

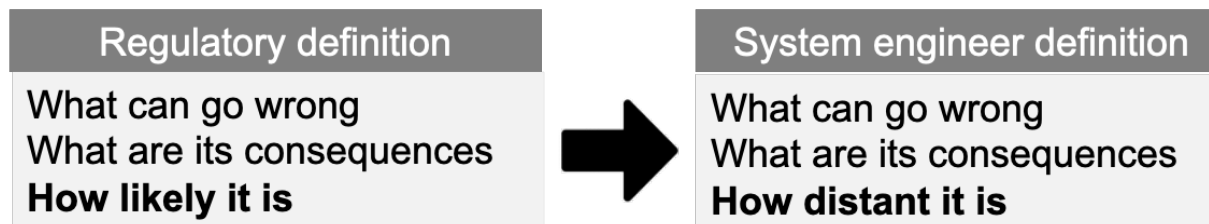


Reliability Modeling: A New Language

- **Discussion during car trip (February 2020)**
 - “Every time we talk about system/component failure probability we lose system engineers attention.”
 - “We need to change language such that we can link ER data to decisions.”
 - “System engineers are more used to the concept of margin.”
 - “What if we link ER data to decisions in terms of margins?”
- **Margin definition:** The “**distance**” between present/actual status and an (estimated) undesired status for a specific component



- What if we talk about reliability in terms of margins?
 - This change implies a **redefinition of risk**



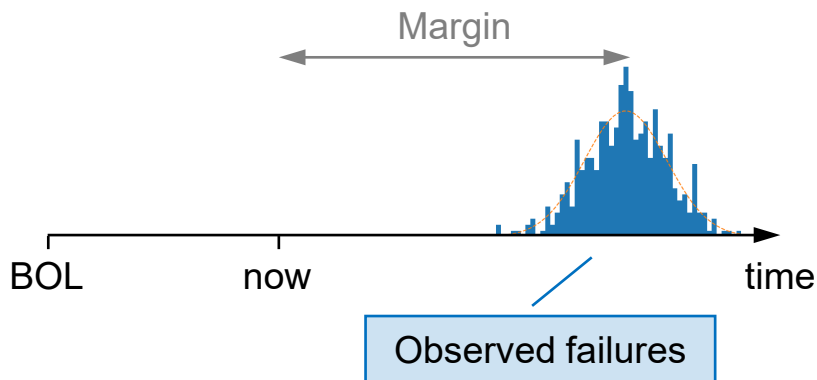
Reliability Modeling: A New Language

- Margin is defined over actual and past ER data
 - Direct integration of ER data
- **Margin values change with time**
 - New SSC condition data are observed
 - ER operations (e.g., maintenance) are performed

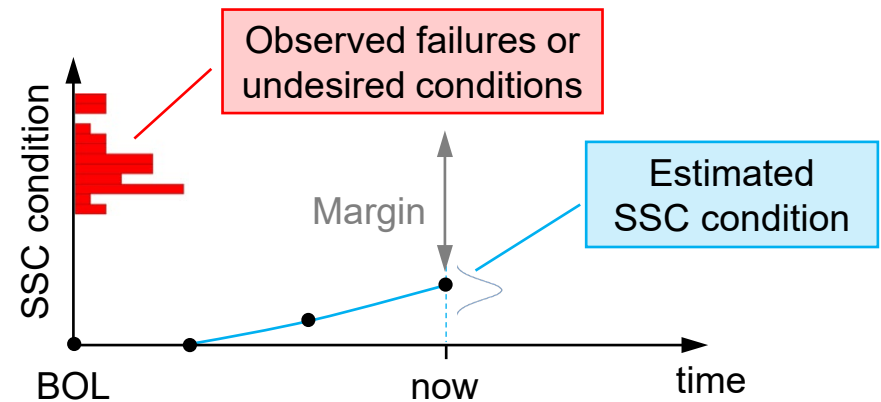
Degradation: Margin ↓

Margin ↑

Corrective maintenance



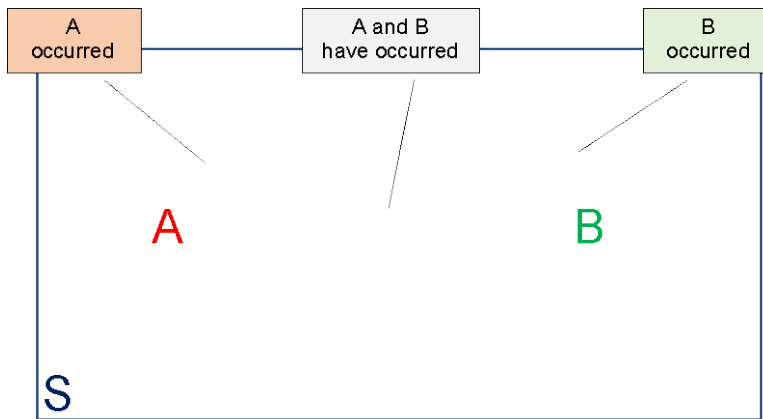
Condition-based maintenance



Reliability Modeling: A New Language

- System reliability models are typically based on fault trees
 - Deterministic models that depicts system architecture from a functional perspective
 - Boolean algebra operations used to calculate top event probability (set theory based)
- Can I use fault trees to propagate margin values up to the top event?

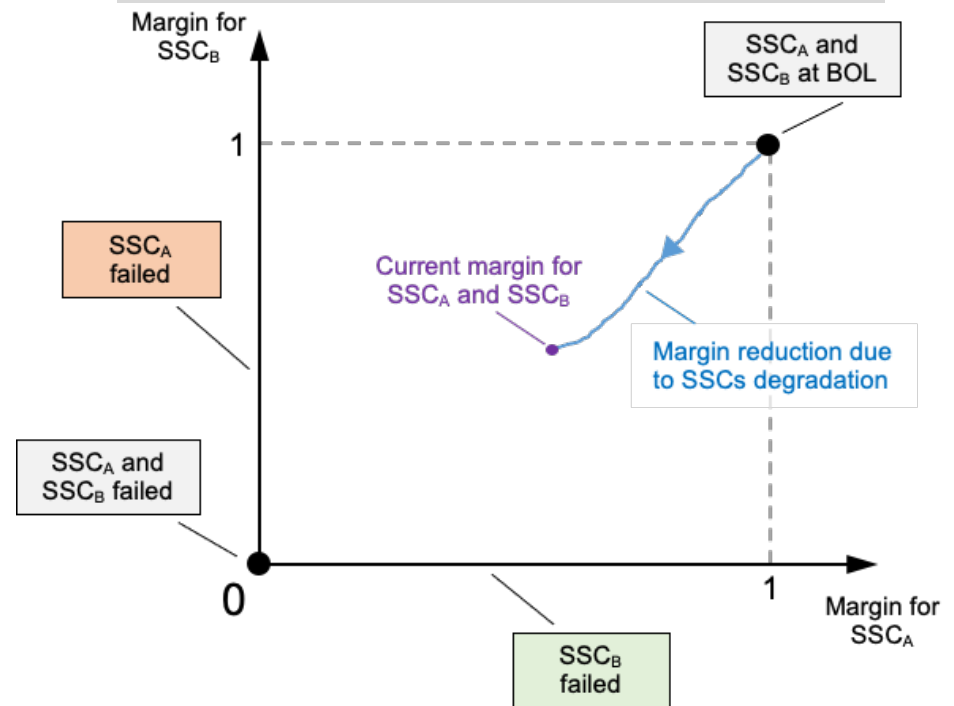
Set representation



$$P(A \text{ OR } B) = P(A) + P(B) - P(A \cap B)$$

$$P(A \text{ AND } B) = P(A|B) \cdot P(B) = P(B|A) \cdot P(A)$$

Margin representation



$$\tilde{M}(A \text{ AND } B) = \text{dist}[(\tilde{M}_A, \tilde{M}_B), (0,0)]$$

$$\tilde{M}(A \text{ OR } B) = \min(\tilde{M}_A, \tilde{M}_B)$$

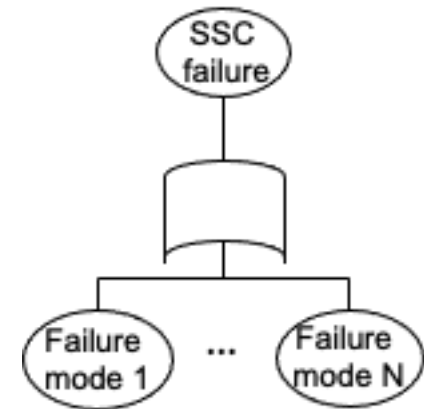
Reliability Modeling: A New Language

- Margin calculations can be **carried out directly** from the minimal cut (or path) sets generated by any PRA code
- Operation through metric spaces are much **faster** compared to Boolean logic operations

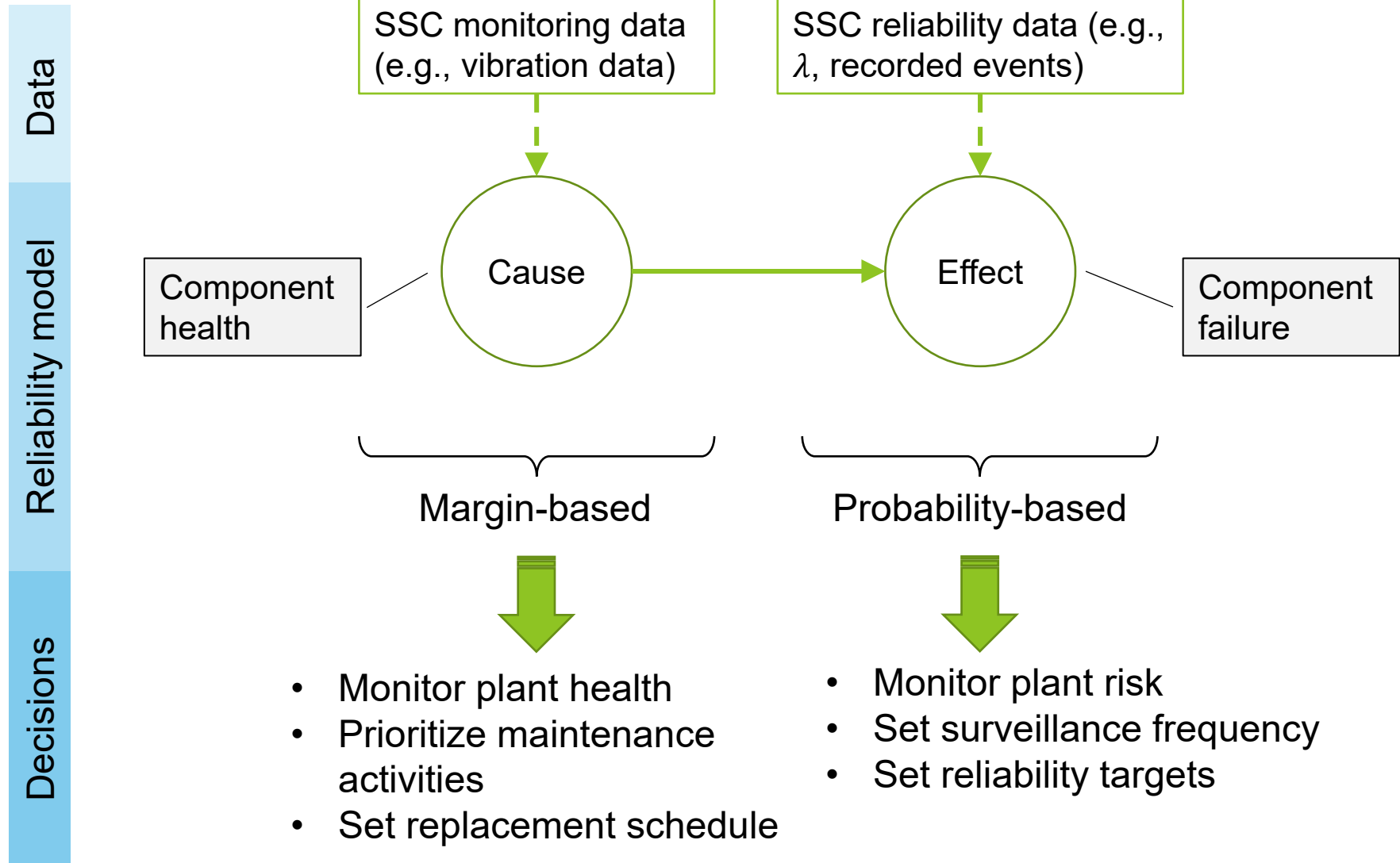
$$P(A \cup B) = P(A) + P(B) - P(A \cap B)$$

Operation	Set based	Margin based
A OR B	$P(A) + P(B) - P(A \cap B)$	$\min(\tilde{M}_A, \tilde{M}_B)$
A AND B	$P(A B) P(B)$	$\sqrt{\tilde{M}_A^2 + \tilde{M}_B^2}$

- Several SSC are characterized by several failure modes
 - Each failure mode is modeled through its own margin
- **Risk importance measures**
 - Borrowed from sensitivity analysis theory: $S_{BE} = \frac{\partial \tilde{M}(TE)}{\partial \tilde{M}(BE)}$
- **Applications**
 - Monitor system/plant health
 - Prioritize failure modes that impact system/plant reliability



Links Between Reliability Modeling Approaches



Outline

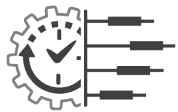
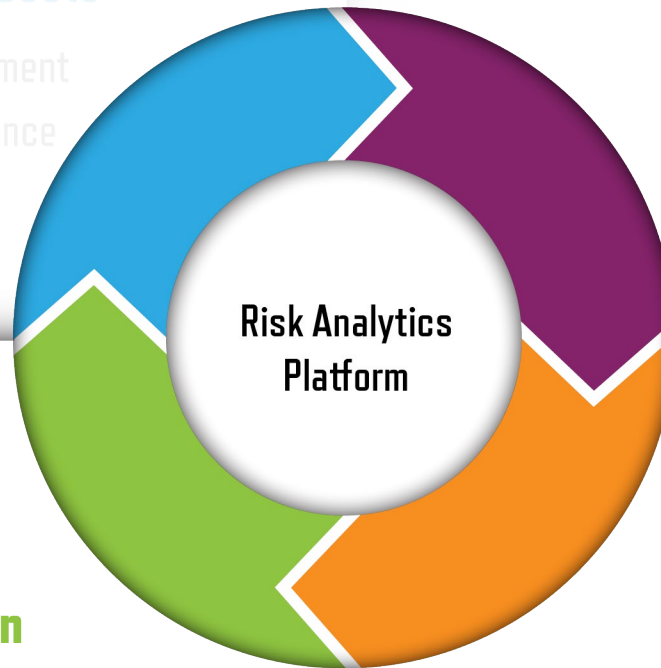


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Digital Modeling

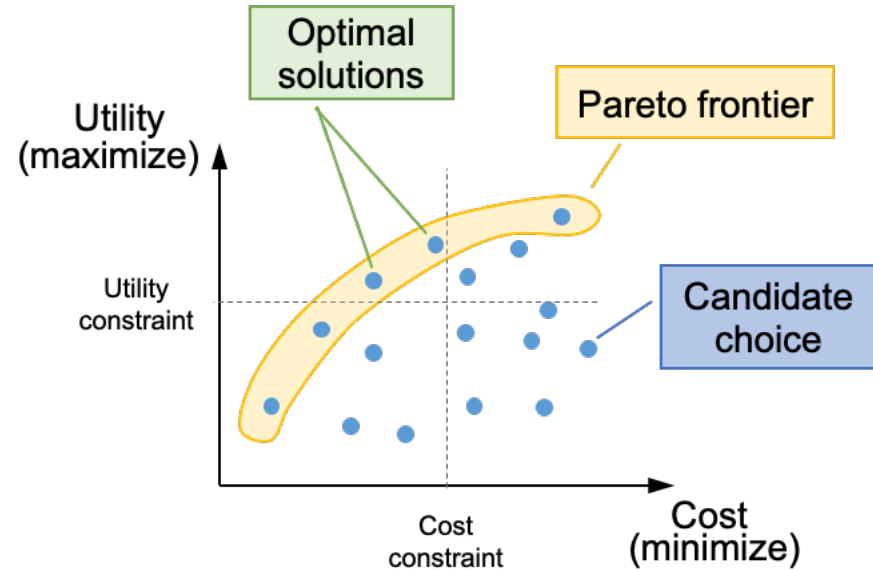
- Integration of reliability and economic models
- Margin reliability solver

Plant Resources Optimization

- **Resources: personnel, budget, assets, time**
- **What does optimization mean?**
 - Maximize value of spent \$
 - Minimize maintenance crew activities
 - Maximize ER workforce productivity
 - Maximize SSC lifecycle performance (availability/reliability)
 - Minimize SSC lifecycle cost
- **Applications**
 - Task scheduling (short-term horizon decisions)
 - Project planning and scheduling (long- and mid-term horizon decisions)
- **A simulation-based approach**
 - Optimization methods
 - Data based: linear integer (deterministic, stochastic, distributionally robust)
 - Model based: single- and multi-objective
 - E.g., gradient-based, genetic algorithms, Pareto frontier
 - Integrate reliability and economic models

Multi-Objective Optimization

- **Objective:** balance multiple factors in the decision process
 - E.g., costs and reliability
- **Applications**
 - Determine optimal set of maintenance activities
 - Evaluate optimal alternatives for maintenance posture
 - Determine system optimal monitoring configuration
- **Method:** Multi-objective optimization
 1. Trade-off exploration: evaluate system costs and utility for several options
 2. Identify Pareto frontier
 3. Propagate uncertainties
 4. Impose utility/cost constraints



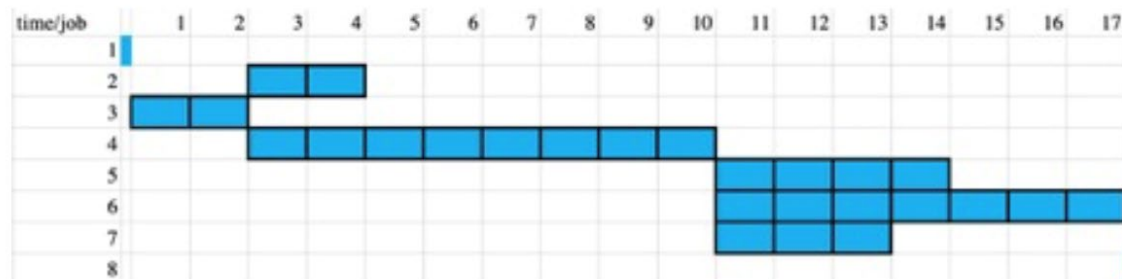
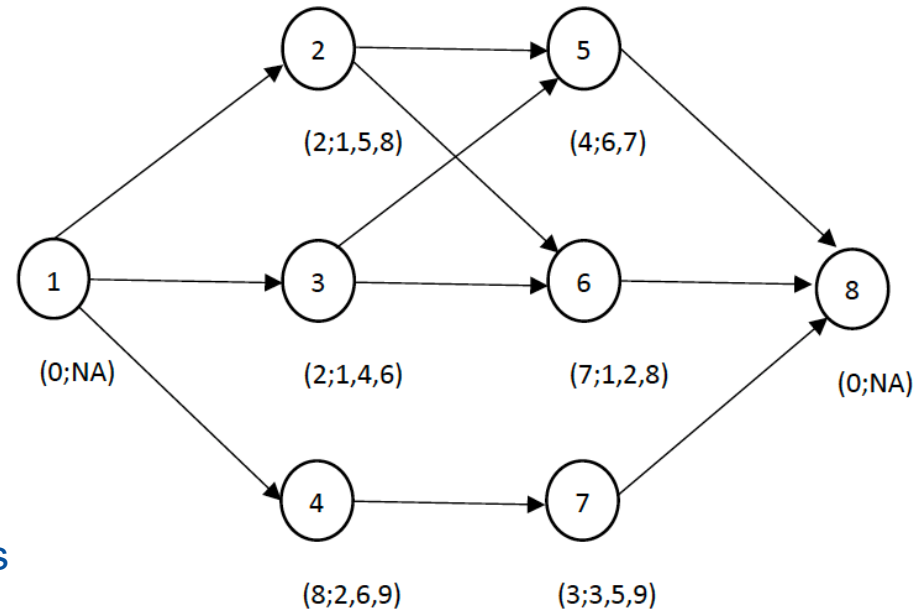
Project Prioritization/Scheduling

- **Goal:** Select optimal set of projects and actuation schedule that maximizes overall NPV
- **Input data:** Candidate projects (e.g., SSC replacement)
 - Options for each project (timing, duration, and costs)
 - Budget constraints per year and per resource (e.g., capital funds, O&M funds)
 - Consequences of stochastic events (e.g., SSC failure)
- **Output data:** Selected projects and prioritization and optimal project schedule

	T1	T2	T3	T4	T5	T6	Risk
	\$ 50K	\$ 90K	\$ 90K	\$ 90K	\$ 70K	\$ 40K	
M1-B		\$ 40K					0.25
M2-B			\$ 50K				0.36
M3-B				\$ 35K			0.18
M4-A				\$ 40K			0.18
M5-A		\$ 45K					0.2
M6-A	\$ 25K						0.168
M7-A			\$ 30K				0.72
Total	\$ 25K	\$ 85K	\$ 80K	\$ 75K	0	0	2.058

Task Scheduling

- **Applications**
 - Scheduling of maintenance and surveillance activities
 - Scheduling of outage activities
- **Input data**
 - Crews (skill set, availability)
 - Tasks (duration, dependencies, skills)
- **Objective:** minimize time to perform all tasks
- **Methods**
 - Mixed integer linear optimization
- **Output data**
 - Task schedule assigned to each crew



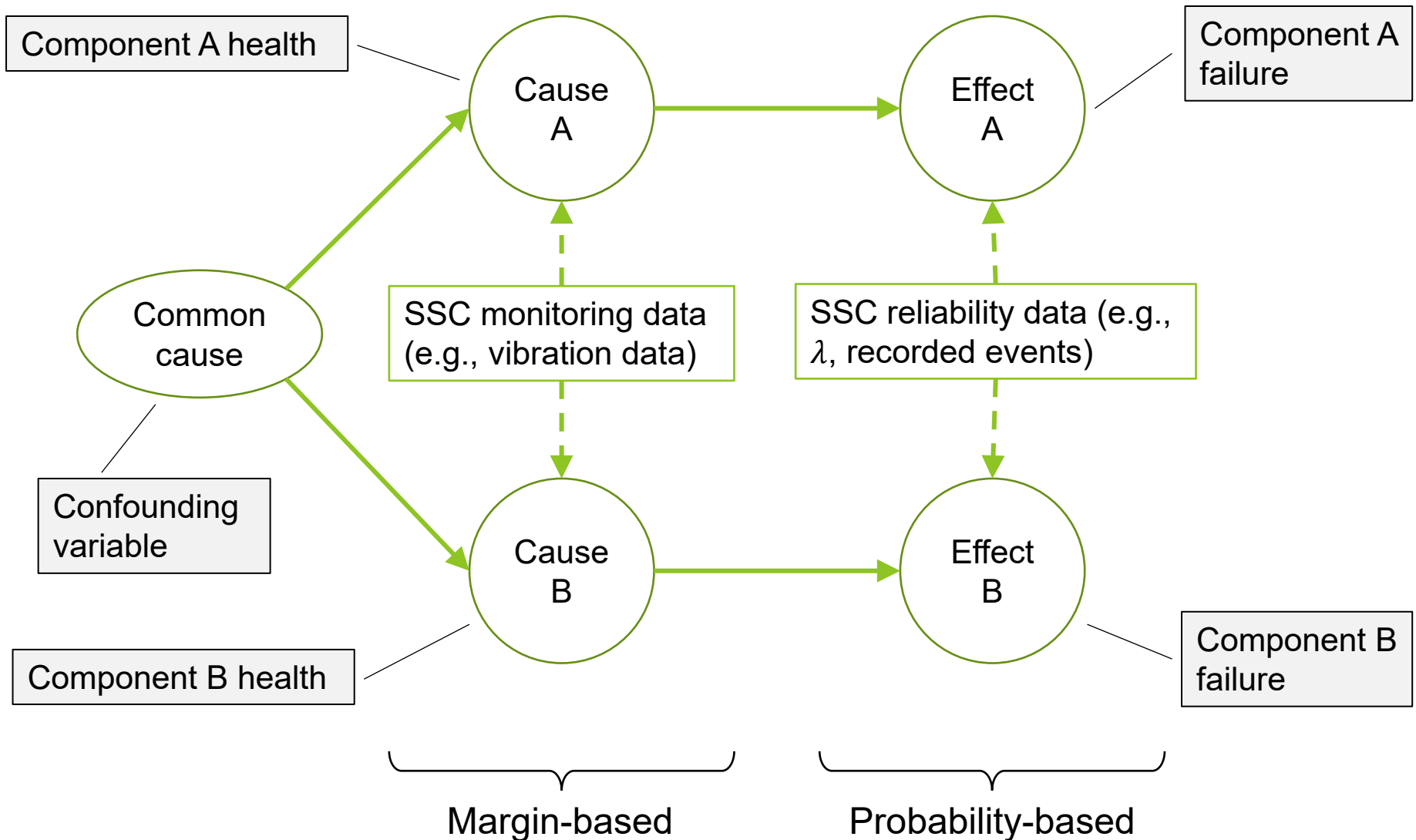
Conclusions

- Project overview: **Linking data to decisions**
- **ER data analytics**
 - Causal inference of numeric data and events
 - System and data perspective: moving away from a data-driven mindset
- **Reliability modeling using margin-based solvers**
 - Easy integration of data analysis methods
 - Compatible with employed system reliability models (fault trees)
 - Complete and explainable representation of system plant health
 - Target both system engineers and plant managers/decision-makers
 - Support plant health/asset management decisions through explainable models/data
- **Plant resource optimization**
 - Long-term: Prioritization projects that provide higher value
 - Medium-term: Project execution planning
 - Short term: Job scheduling



Backup Slides

CCFs, Probability and Margin Calculations



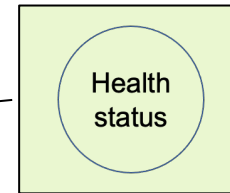
Analysis of Textual Data

- Two classes of textual reports have been defined

- Class 1:** reports that describe

- Event (e.g., SSC malfunction)
- Information about SSC health (e.g., excessive corrosion on pump impeller)

- Class 2:** reports that describe a causal relation between two nodes



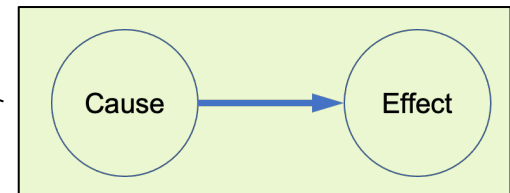
- NLP analysis pipeline**

- Syntactic analysis**

- Sentence segmentation and word tokenization
- Part of speech (POS) tagging
- Named entity recognition (NER)

- Semantic analysis (Information extraction)**

- Identification of specific nouns, verbs and adjectives
- Identify the sentence logic structure
- Identify OPM elements (form or function)
- Reconstruct DAG element



- Class 1: (Comp.; OPM elem.; health)

- Class 2: (Comp.; OPM elem.; health) → (Comp.; OPM elem.; health)

Status nouns	Status verbs	Status adjectives
Failure	Fail	Unable
Degradation	Degrade	Ineffective
Breach	Break	Anomalous
Fracture	Decline	

ok, degraded, failed or anomalous

Integration of Textual and Numeric Data

